Page 1 of 5

Form PTO-1449 U.S. Department of Commerce (REV. 2-82) Patent and Trademark Office

Atty. Docket No. 036217/US/2 – 475387-00191 Serial No. 10/551,735

INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)

Applicant(s)
Guillermo J. Tearney et al.

et al.

Confirmation No.

## U.S. PATENT DOCUMENTS

Filing Date September 29, 2005

*Exam.		Document No.							Date	Name	Class	Subclass	Filing Date if Appropriate
		6	5	0	1	8	7	8	December 31, 2002	Hughes et al.			
	2004	0	1	0	0	6	8	1	May 2004	Bjarklev et al. <sup>©</sup>			
	2004	0	0	8	6	2	4	5	May 2004	Farroni et al. <sup>©</sup>			
	2002	0	1	7	2	4	8	5	November 2002	Keaton et al. <sup>©</sup>			
	2002	0	1	8	8	2	0	4	December 12, 2002	McNamara et al. ®			
	2002	0	0	7	6	1	5	2	June 20, 2002	Hughes et al.			
		6	7	9	0	1	7	5	September 14, 2004	Furusawa et al.			
		6	2	6	4	6	1	0	July 24, 2001	Zhu <sup>ффффф</sup>			i
		3	6	0	1	4	8	0	August 24, 1971	Donald Sexton Randall ΦΦΦΦΦΦΦΦ			
		5	4	1	1	0	1	6	May 2, 1995	Kume et al. €€			
		5	7	4	0	8	0	8	April 21, 1998	Panescu et al. €€			
		6	2	7	4	8	7	1	August 21, 2001	Dukor et al.			
		5	8	6	2	2	7	3	January 19, 1999	Pelletier ФФФФФФФФФФ			
		4	4	7	9	4	9	9	October 30, 1984	Alfano ΦΦΦΦΦΦΦΦΦΦΦΦ			
		4	0	3	0	8	2	7	June 21, 1977	Delhaye et al.			
	2004	0	ì	0	0	6	3	1	May 27, 2004	Bashkansky et al. €€€			
		7	2	3	1	2	4	3	June 12, 2007	Tearney et al.			
•		3	8	5	6	0	0	0	December 24, 1974	Chikama€€€€€			
		3	9	4	1	1	2	1	March 2, 1976	Olinger €€€€€			

Examiner

Date Considered

<sup>\*</sup> Examiner: Initial citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Page 2 of 5

Form PTO-1449 U.S. Department of Commerce Atty. Docket No. Serial No. (REV. 2-82) Patent and Trademark Office 036217/US/2 - 475387-10/551,735 00191 INFORMATION DISCLOSURE STATEMENT BY APPLICANT Applicant(s) Guillermo J. Tearney et al. (Use several sheets if necessary) Confirmation No. Filing Date September 29, 2005 6550 February 27, 1979 WursterEEEEE 6 2 6 6 2 August 26, 1986 Fritch et al. eeeee5 6 April 29, 2003 Aziz et al. ееееее 2002 0 0 6 4 3 4 1 May 30, 2002 Fauver et al. ееееее 9 February 16, 1999 Hamm еееееее April 15, 1997 Lucey et al. 5 2 8 0 6 1 eeeeeeeSeptember 4, 2003 Deichmann et al. 2003 6 9 5 eeeeeeFOREIGN PATENT DOCUMENT Translator Country Class SubClass Date Document No. Yes No WIPO DO January 6, 2005 2005 0 0 0 WIPO<sup>ΦΦ</sup> January 15, 1998 8 0 1 0 7 4 WIPO<sup>ΦΦ</sup> 2004 0 6 6 2 4 August 12, 2004 3 June 16, 2005 Germany <sup>ΦΦ</sup> 0 3 5 1 WIPO<sup>ФФ Ф Ф</sup> 8 3 8 9 0 September 11, 1998 2 5 December 22, 1971 Great Britain WIPOΦΦΦΦΦΦΦΦ 200 Λ 8 0 June 16, 2005 WIPOΦΦΦΦΦΦΦ 200 Λ Q December 7, 2006 6 1 3 WIPOΦΦΦΦΦΦΦ 200 Q 2 February 9, 2006 6 0 4 3 October 22, 1998 WIPO EE 8 4 6 3 April 6, 1994 European €€ 0 5 9 0 2 8 200 October 14, 2004 WIPO EEE 4 0 8 8 6 1 3 April 2, 1980 Great Britain 0 3 0 еееееее

Date Considered

Examiner

<sup>\*</sup> Examiner: Initial citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

		Page 3 of 3		
orm PTO-1449 U.S. Department of Commerce REV. 2-82) Patent and Trademark Office	Atty. Docket No. 036217/US/2 – 475387- 00191	Serial No. 10/551,735		
INFORMATION DISCLOSURE STATEMENT	A 1' (()			
BY APPLICANT	Applicant(s)			
(Use several sheets if necessary)	Guillermo J. Tearney et al.			
	Filing Date September 29, 2005	Confirmation No. 6550		

D--- 2 - F 5

ΦΦΦΦΦΦΦΦΦΦ References cited in International Search Report PCT/US2007/060657

€ References cited in International Search Report PCT/US2007/066017

€€ References cited in European Patent Office Search Report for Application No. 01991092.6-2305 €€€ References cited in International Search Report PCT/US2007/060670

€€€€ Reference cited in Office Action dated October 11, 2007 for U.S. Patent Application No. 11/534,095

€€€€€ References cited in Office Action dated October 9, 2007 for U.S. Patent Application No. 09/709,162 €€€€€€ References cited in Notice of Allowance dated October 3, 2007 for U.S. Patent Application No. 11/225.840

EFFECCE References cited in Office Action dated October 30, 2007 for U.S. Patent Application No. 11/670,069

OTHER DOCUMENTS (including Author, Title Date, Pertinent Pages, Etc.) Hariri, Lida P. et al. "Endoscopic Optical Coherence Tomography and Laser-Induced Fluorescence Spectroscopy in a Murine Colon Cancer Model", Laser in Surgery and Medicine, Vol. 38, 2006, pages 305-313 PCT International Search Report and Written Opinion for Application No. PCT/US2006/031905 dated May 3, 2007 PCT International Search Report and Written Opinion for Application No. PCT/US2007/060481 dated May 23, 2007 PCT International Search Report and Written Opinion for Application No. PCT/US2007/060717 dated May 24, 2007 PCT International Search Report and Written Opinion for Application No. PCT/US2007/060319 dated June 6, 2007

Examiner	Date Considered

References cited in Office Action dated February 2, 2007 for U.S. Patent Application No. 11/174,425

o

Per References cited in International Search Report PCT/US2006/031905

Page References cited in Office Action dated March 28, 2007 for U.S. Patent Application No. 11/241,907

ФФФФ References cited in International Search Report PCT/US2007/060481

ΦΦΦΦΦ References cited in Office Action dated May 23, 2007 for U.S. Patent Application No. 10/406,751 ΦΦΦΦΦΦΦ References cited in International Search Report PCT/US2007/060717

ΦΦΦΦΦΦΦΦ References cited in International Search Report PCT/US2007/060319

ΦΦΦΦΦΦΦΦΦ References cited in Office Action dated August 10, 2007 for U.S. Patent Application No. 10/997,789

ΦΦΦΦΦΦΦΦΦΦΦ References cited in International Search Report PCT/US2007/061815 ΦΦΦΦΦΦΦΦΦΦΦΦΦ References cited in International Search Report PCT/US2007/062465

Examiner: Initial citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Page 4 of 5 Form PTO-1449 U.S. Department of Commerce Atty. Docket No. Serial No. (REV. 2-82) Patent and Trademark Office 036217/US/2 - 475387-10/551,735 00191 INFORMATION DISCLOSURE STATEMENT BY APPLICANT Applicant(s) Guillermo J. Tearney et al. (Use several sheets if necessary) Confirmation No. Filing Date September 29, 2005 6550

D. Yelin et al., "Three-dimensional imaging using spectral encoding heterodyne interferometry", Optics Letters, July 15, 2005, Vol. 30, No. 14, Pages 1794-1796 ************************************
Akiba, Masahiro et al. "En-face optical coherence imaging for three-dimensional microscopy", SPIE, 2002, pages 8-15
Copy of Office Action dated August 10, 2007 for U.S. Patent Application No. 10/997,789
Copy of Office Action dated February 2, 2007 for U.S. Patent Application No. 11/174,425
PCT International Search Report and Written Opinion for Application No. PCT/US2007/060657 dated August 13, 2007
Lewis, Neil E. et al., "Applications of Fourier Transform Infrared Imaging Microscopy in Neurotoxicity", Annals New York Academy of Sciences, pages 234-246
Joo, Chulmin et al., Spectral-domain optical coherence phase microscopy for quantitative phase- contrast imaging", Optics Letters, August 15, 2005, Vol. 30, No. 16, pages 2131-2133
Guo, Bujin et al., "Laser-based mid-infrared reflectance imaging of biological tissues", Optics Express, January 12, 2004, Vol. 12, No. 1, pages 208-219
Copy of Office Action dated March 28, 2007 for U.S. Patent Application No. 11/241,907
Copy of Office Action dated May 23, 2007 for U.S. Patent Application No. 10/406,751
PCT International Search Report and Written Opinion for Application No. PCT/US2007/061815 dated August 2, 2007
Sir Randall, John et al., "Brillouin scattering in systems of biological significance", Phil. Trans. R. Soc. Lond. A 293, 1979, pages 341-348
 Takagi, Yasunari, "Application of a microscope to Brillouin scattering spectroscopy", Review of Scientific Instruments, No. 12, December 1992, pages 5552-5555
Lees, S. et al., "Studies of Compact Hard Tissues and Collagen by Means of Brillouin Light Scattering", Connective Tissue Research, 1990, Vol. 24, pages 187-205
Berovic, N. "Observation of Brillion scattering from single muscle fibers", European Biophysics Journal, 1989, Vol. 17, pages 69-74

Examiner	Date Considered	

<sup>\*</sup> Examiner: Initial citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Page 5 of 5

Atty. Docket No. 036217/US/2 – 475387- 00191

Applicant(s) Guillermo J. Tearney et al. Filing Date Confirmation No. 10751,735

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Form PTO-1449 U.S. Department of Commerce

(REV. 2-82) Patent and Trademark Office

(Use several sheets if necessary)

Guillermo J. Tearney
Filing Date

September 29, 2005 6550 PCT International Search Report and Written Opinion for Application No. PCT/US2007/062465 dated August 8, 2007 Pyhtila John W. et al., "Rapid, depth-resolved light scattering measurements using Fourier domain, angle-resolved low coherence interferometry", Optics Society of America, 2004 \*\*\* Optics Society of America, 2004 \*\*\* Pyhtila John W. et al., "Determining nuclear morphology using an improved angle-resolved low coherence interferometry system", Optics Express, December 15, 2003, Vol. 11, No. 25, pages 3473-3484 ФФФФФФФФФФФ Designation A.E., et al., "Speckle reduction in OCT using massively-parallel detection and frequency-domain ranging", Optics Express, May 15, 2006, Vol. 14, No. 11, pages 4736-4745 Nadkarni, Seemantini K., et al., "Measurement of fibrous cap thickness in atherosclerotic plaques by spatiotemporal analysis of laser speckle images", Journal of Biomedical Optics, Vol. 11 Marsh/April 2006, pages 021006-1 -8 PCT International Search Report and Written Opinion for Application No. PCT/US2007/066017 dated August 30, 2007 Yamanari M. et al., "Polarization sensitive Fourier domain optical coherence tomography with continuous polarization modulation", Proc. of SPIE, Vol. 6079, 2006 € Zhang Jun et al., "Full range polarization-sensitive Fourier domain optical coherence tomography" Optics Express, November 29, 2004, Vol. 12, No. 24, pages 6033-6039 € European Patent Office Search report for Application No. 01991092.6-2305 dated January 12, 2006 PCT International Search Report and Written Opinion for Application No. PCT/US2007/060670 dated September 21, 2007 J. M. Schmitt et al., "Speckle in Optical Coherence Tomography: An Overview", SPIE Vol. 3726, pages 450-461 €€€ Copy of Office Action dated October 11, 2007 for U.S. Patent Application No. 11/534,095 Copy of Office Action dated October 9, 2007 for U.S. Patent Application No. 09/709,162 Copy of Notice of Allowance dated October 3, 2007 for U.S. Patent Application No. 11/225,840 Siavash Yazdanfar et al., "In Vivo imaging in blood flow in human retinal vessels using color Doppler optical coherence tomography", SPIE, 1999 Vol. 3598, pages 177-184 666666

4822-4298-8034\1

Examiner Date Considered

Copy of Office Action dated October 30, 2007 for U.S. Patent Application No. 11/670,069

<sup>\*</sup> Examiner: Initial citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.